12/04/2008 10:39

Image# 28993369547

# **FORM 3X**

### **REPORT OF RECEIPTS AND DISBURSEMENTS**

_		For O	ther Than An	Authoriz	ed Comm	ittee		Office Use Or	nly
	NAME OF COMMITTEE (in full)		EC MAILING LAI PE OR PRINT 🗑		Example:If typiover the lines	ng, type			
L	Securities Industry and Final	ncial Mar	kets Association	Political Acti	on Committee	•			
Ш									
ADD	PRESS (number and street)		1 New York Aven	ue, NW					
	Check if different	8th I	Floor						
	than previously reported. (ACC)	Was	shington				DC	20005	5
2.	FEC IDENTIFICATION NUM	MBER	<b>~</b>	CITY 🛋			STATE	ZIP	CODE A
	C00431312			3. IS THIS REPOR		NEW (N) OR		MENDED A)	
4.	TYPE OF REPORT (Choose One)	(b)	Monthly Report	Feb 20 (M	12)	May 20 (M5)	Au	g 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Reports:		Due On:	Mar 20 (M	13)	Jun 20 (M6)	Se	o 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
	April 15			Apr 20 (M	4)	Jul 20 (M7)	Oc	t 20 (M10)	Jan 31 (YE)
	Quarterly Report(C	21)	(c) 12-Day		Primary (1	2P)	General	(12G)	Runoff (12R)
	Quarterly Report(C	Q2)	PRE-Election Report for t		Convention	n (12C)	Special	(12G)	
	October 15 Quarterly Report(C	23)	1.000.1.0			(.=0)	Specia.	(.==,	
	January 31 Quarterly Report(Y	<b>′</b> E)		Election on				in ti Sta	ne te of
	July 31 Mid-Year Report(Non-electic Year Only) (MY)	on	(d) 30-Day Post -Elec Report for t		General (3	80G)	Runoff	30R)	Special (30S)
	Termination Repor (TER)	rt	•	Election on	11	0.6	2008	in tl Sta	ne te of
5.	Covering Period 1	0	16 200	8	through	11	2 4	2008	
l cer	tify that I have examined this	Report a	nd to the best of	ny knowledg	e and belief it	is true, correct	and complete		
Туре	e or Print Name of Treasurer	Sco	ott DeFife						
Sign	ature of Treasurer Electro	onically F	iled by Scott D	eFife		D	ate 12	0 4	2008
NOT	E : Submission of false, erro	neous, o	r incomplete infor	mation may	subject the pe	erson signing thi	s Report to th	e penalties of 2	U.S.C 437g.
	Office Use							FEC FC	ORM 3X 2/2004)

FE6AN026

### **SUMMARY PAGE**

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name Securities Industry and Financial Markets Association Political Action Committee <sup>®</sup> D " D 24 1.0 16 2008 1,1 2008 Report Covering the Period: From: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 2008 208009.19 January 1 (b) Cash on Hand at 53715.54 Begining of Reporting Period ..... 30706.40 525497.20 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 84421.94 733506.39 6(a) and 6(c) for Column B) ..... 49264.50 698348.95 7. Total Disbursements (from Line 31) ...... Cash on Hand at Close of Reporting Period 35157.44 35157.44 (subtract Line 7 from Line 6(d)) ..... 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

### **DETAILED SUMMARY PAGE** OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

м м 1 0 1 6 м м 1 1 2<sup>D</sup>4 2008 2008 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 422760.85 20705.40 (i) Itemized (use Schedule A) .......... 1.00 27236.35 (ii) Unitemized ..... (iii) TOTAL (add 20706.40 449997.20 Lines 11(a)(i) and (ii) ...... 0.00 0.00 (b) Political Party Committees ..... Other Political Committees 10000.00 72000.00 (such as PACs) ..... Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry 30706.40 521997.20 Totals to Line 33, page 5) ..... 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees ..... 0.00 0.00 13. All Loans Received ..... 0.00 0.00 14. Loan Repayments Received ..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5) ..... 16. Refunds of Contributions Made to Federal candidates and Other 0.00 3500.00 Political Committees ..... 17. Other Federal Receipts 0.00 0.00 (Dividends, Interest, etc.) ..... 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3) ..... 0.00 0.00 (b) Levin Funds (from Schedule H5) ...... 0.00 0.00 (c) Total Transfer (add 18(a) and 18(b)). 19. Total Receipts (add Lines 11(d), 30706.40 525497.20 12, 13, 14, 15, 16, 17, and 18(c)) ..... 20. Total Federal Receipts 30706.40 525497.20

(subtract Line 18(c) from Line 19) .....

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

**II. DISBURSEMENTS** 

Activity (from Schedule H4)

(i) Federal Share.....

(ii) Non-Federal Share.....

Expenditures.....

Committees.....

Federal Candidates/Committees.....and Other Political Committees.....

26. Loan Repayments Made.....

(subtract Line 21(a)(ii) and Line 30(a)(ii)

from Line 31).....

(add 21(a)(i), (a)(ii) and (b))......

21. Operating Expenditures:
(a) Shared Federal/Non-Federal

(b) Other Federal Operating

22. Transfers to Affiliated/Other Party

23. Contributions to

24. Independent Expenditure

27. Loans Made..... 28. Refunds of Contributions To:

(c) Total Operating Expenditures

Page 4 **COLUMN A COLUMN B Total This Period** Calendar Year-to-Date 0.00 0.00 0.00 0.00 264.50 4348.95 264.50 4348.95 0.00 0.00 49000.00 694000.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00

698348.95

28.	Refunds of Contributions To:		
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees	0.00	0.00
	(such as PACs)(d) Total Contribution Refunds		0.00
	(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29.	Other Disbursements	0.00	0.00
30.	Federal Election Activity (2 U.S.C 431(20))  (a) Shared Federal Election Activity  (from Schedule H6)		
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31.	Total Disbursements (add Lines 21(c), 22,	40264 50	698348.95
32.	23, 24, 25, 26, 27, 28(d), 29 and 30(c))  Total Federal Disbursements	49264.50	090346.93
٥٧.	I Olai i Euelai Disbuiselliellis		

49264.50

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	30706.40	521997.20
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	30706.40	521997.20
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	264.50	4348.95
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	264.50	4348.95

FE6AN026

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 21 (check only one)    X   11a
NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any personal statements and address of any political committee to rkets Association Political Action Committee	
Full Name (Last, First, Middle Initial) Mr. Karl Leaverton		Date of Receipt
Mailing Address 14 Crescent Key	Chata 7in Cada	10 16 2008
City <u>Bellevue</u>	State Zip Code WA 98006-1010	Transaction ID: 16027743  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer RBC Dain Rauscher Corp.	Occupation President	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert Adams		Date of Receipt
Mailing Address 56 Brompton Road		10 22 7 2008
City	State Zip Code NY 11530-4228	Transaction ID: 16057984
Garden City  FEC ID number of contributing federal political committee.	NY 11530-4228	Amount of Each Receipt this Period  1000.00
Name of Employer Fidelity Brokerage	Occupation Executive VP	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert J. Chersi		Date of Receipt
Mailing Address 151 Valley View Aver		10 22 7 2008
City Ridgewood	State Zip Code NJ 07450-2347	Transaction ID: 16057986
FEC ID number of contributing federal political committee.	NJ 07450-2347	Amount of Each Receipt this Period  1500.00
Name of Employer Fidelity Brokerage	Occupation CFO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1500.00	Contribution
		3500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 21 (check only one)  X 11a 11b 11c 12 13 14 15 16 1
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any pers ne name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Securities Industry and Financial Ma	rkets Association Political Action Commit	ttee
Full Name (Last, First, Middle Initial) Mr. Christopher Hamel		Date of Receipt
Mailing Address 6030 E. Mariposa Str	reet	10 23 7 9 9 9
City	State Zip Code	Transaction ID: 16058835
Scottsdale FEC ID number of contributing	AZ 85251	Amount of Each Receipt this Period
federal political committee.	C	2000.00
Name of Employer RBC Dain Rauscher Corp.	Occupation Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert E. Spangler		Date of Receipt
Mailing Address 61 Bridle Path Lane		10 23 2008
City	State Zip Code	Transaction ID: 16059050
New Canaan	CT 06840-3906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2000.00
Name of Employer RBC Dain Rauscher Corp.	Occupation Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2000.00	Contribution
Full Name (Last, First, Middle Initial) McElwee & Quinn	.1	Date of Receipt
Mailing Address 612 Fox Fields Road		10 23 2008
City	State Zip Code	Transaction ID: 16059982
Bryn Mawr	PA 19010	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2000.00
Name of Employer	Occupation	
Receipt For: Primary General	Aggregate Year-to-Date ▼	Partnership: 'No partner's
Other (specify) ▼	2000.00	Partnership: 'No partner's allocation exceeds \$200'
	1	

SCHEDULE A (FEC F ITEMIZED RECEIPTS	orm 3X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 21 (check only one)    X		
or for commercial purposes, other  NAME OF COMMITTEE (In Fig. 1)	than using the name and add	rnot be sold or used by any pers dress of any political committee to tion Political Action Commit	on for the purpose of soliciting contributions o solicit contributions from such committee.		
Full Name (Last, First, Middle I		non'i Gillical Action Commit			
Ms. Ellen Wilson  Mailing Address 25 Old Ord	,		Date of Receipt		
		7in Code	10 23 2008		
City Chestnut Hill	State MA	Zip Code 02467-1213	Transaction ID: 16060008  Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	С		1500.00		
Name of Employer Fidelity Brokerage	Occupation EVP	1			
Receipt For:  Primary General Other (specify) ▼		Year-to-Date ▼ 1500.00	Contribution		
Full Name (Last, First, Middle I Rob Gannon			Date of Receipt		
Mailing Address 3 Higgins	Mailing Address 3 Higgins Road				
City	State	Zip Code	Transaction ID: 16062938		
Old Bridge  FEC ID number of contributing federal political committee.	NJ C	08857-2749	Amount of Each Receipt this Period  13.12		
Name of Employer Securities Industry and Financial Mark	Occupation Vice Pres				
Receipt For:  Primary Genera  Other (specify) ▼		Year-to-Date ▼ 249.97			
Full Name (Last, First, Middle I Mr. John A. Anderson	nitial)		Date of Receipt		
Mailing Address 9750 Oatlo	ey Lane		10 22 7 2008		
City	State	Zip Code	Transaction ID: 16062939		
Burke  FEC ID number of contributing federal political committee.	C	22015-4423	Amount of Each Receipt this Period  192.28		
Name of Employer Securities Industry and Financial Mark	Occupation Managin				
Receipt For:  Primary General Other (specify) ▼		Year-to-Date ▼ 2500.00			
SUBTOTAL of Receipts This Pa	ge (optional)		1705.40		

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 21 (check only one)    X   11a
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Securities Industry and Financial Ma			
Full Name (Last, First, Middle Initial) Ms. Cheryl Ann Tutun			Date of Receipt
Mailing Address 178 Conant Road	01-1-	7'- O-d-	10 28 2008
City Weston	State MA	Zip Code 02493-1656	Transaction ID: 16075857  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Fidelity Brokerage	Occupation Sr. VP	1	
Receipt For:  Primary  General  Other (specify) ▼		Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Mark Haggerty			Date of Receipt
Mailing Address 85 Colts Crossing			10 28 7 2008
City	State	Zip Code	Transaction ID: 16075859
Canton  FEC ID number of contributing federal political committee.	C	02021-1367	Amount of Each Receipt this Period
Name of Employer Fidelity Brokerage Group	Occupation Executive		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Michael Clark			Date of Receipt
Mailing Address 157 Beach 135 Stree	et		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City <u>New York</u>	State NY	Zip Code 11694	Transaction ID: 16076123  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		2500.00
Name of Employer Fidelity Brokerage	Occupation President		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 2500.00	Contribution
SUBTOTAL of Receipts This Page (optional)			4000.00

A.

В.

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS  Any information copied from such Reports and St or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Market	name and ad	dress of any political committee to	solicit contributions from such committee.
Full Name (Last, First, Middle Initial)  Mr. John Marrs  Mailing Address 45 Pine Street			Date of Receipt  1 0 2 8 2 0 0 8
City Wellesley	State MA	Zip Code 02481-3203	Transaction ID: 16084090  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer	C	on	500.00
Fidelity Brokerage  Receipt For:  Primary  General  Other (specify) ▼	Sr. VP	e Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Abigail P. Johnson Mailing Address 1196 Canton Avenue			Date of Receipt  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 16098892
Milton	MA	02186-2413	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		5000.00
Name of Employer Fidelity Investments	Occupation Presiden		
Receipt For:  Primary General  Other (specify) ▼		e Year-to-Date ▼ 5000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	•	5500.00
TOTAL This Period (last page this line number only)	<b>•</b>	20705.40

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS  Any information copied from such Reports and St or for commercial purposes, other than using the	atements ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 21 (check only one)  11a 11b X 11c 12 13 14 15 16 17  In for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full)  Securities Industry and Financial Market			
A.	Full Name (Last, First, Middle Initial) UBS Americas Fund for Better Government  Mailing Address 1285 Avenue of the Am 14th Floor	ericas		Date of Receipt    M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City New York	State NY	Zip Code 10019	Transaction ID: 16035846  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	<b>C</b> C0	0012245	5000.00
	Name of Employer	Occupation	on	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	Contribution
В.	Full Name (Last, First, Middle Initial) Citigroup Political Action Committee			Date of Receipt
	Mailing Address 1101 Pennsylvania Ave Suite 1000	nue, NW		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 16079347
	Washington	DC	20004	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	<b>C</b> C0	0008474	5000.00
	Name of Employer	Occupation	on	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	•	10000.00
TOTAL This Period (last page this line number only)	<b>•</b>	10000.00

	CHEDULE B (FEC FOIII)	/ Use separate schedule			NE NUMBER: PAGE 12 / 21 only one)
	EMIZED DISBURSEMEN	Detailed Summary Page	)	21b 27	22 X 23 24 25 28a 28b 28c 29
					on for the purpose of soliciting contributions solicit contributions from such committee
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Securities Industry and Financial	<u> </u>			
<b>/</b>	Full Name (Last, First, Middle Initial) Devin Nunes for Congress				Transaction ID: 16059952 Date of Disbursement
	Mailing Address PO Box 6545				10  23  2008
	City Visalia	State Zip Code CA 93290			Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution Candidate Name			011	1000.00
	Rep. Devin Nunes  Office Sought: X House	Disbursement For: 2008		Category/ Type	
	Senate President State: CA District: 21	Primary X General Other (specify)	J		Contribution
	Full Name (Last, First, Middle Initial) Gary Miller For Congress				Transaction ID: 16059953 Date of Disbursement
	Mailing Address 721 S Brea Can	yon Road Suite 7			10 M / 23 / Y 2008
	City Diamond Bar	State Zip Code CA 91789			Amount of Each Disbursement this Perio
	Purpose of Disbursement Contribution			011	2500.00
	Candidate Name Congressma Gary G. Miller		С	Category/ Type	
	Office Sought:  X House Senate President State: CA District: 41	Disbursement For: 2008 Primary X General Other (specify)	I		Contribution
	Full Name (Last, First, Middle Initial) Royce Campaign Committee				Transaction ID: 16059955 Date of Disbursement
	Mailing Address PO Boc 2525				10  23  2008
	City Orange	State Zip Code CA 92859			Amount of Each Disbursement this Perio
	Purpose of Disbursement Contribution Candidate Name			011	2500.00
	Rep. Ed Royce			Category/ Type	
	Office Sought:  X House Senate President State: CA District: 39	Disbursement For: 2008 Primary X General Other (specify)	I		Contribution
	State. Of District. 00				

		Use separate schedule(s)			NE NUMBER: PAGE 13 / 21 only one)
<u>IT</u>	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		21b 27	<u> </u>
	y Information copied from such Reports and Stofor commercial purposes, other than using the report of COMMITTEE (In Full)	ame and address of any politica	l comr	nittee to	o solicit contributions from such committee
V	Securities Industry and Financial Market	ets Association Political Acti	ion C	ommitt	tee
	Full Name (Last, First, Middle Initial) Ensign For Senate  Mailing Address 8917 Stafford Springs	s Drive			Transaction ID: 16074568  Date of Disbursement  M M M / D 2 8 / Y Y Y O 0 8
	City Las Vegas	State Zip Code NV 89134			Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution Candidate Name			011 tegory/	5000.00
	Sen. John Ensign  Office Sought: House X Senate President  State: NV District:	ursement For: 2012 Primary X General Other (specify) ▼	_ т	уре	Contribution
	Full Name (Last, First, Middle Initial) Sessions for Congress  Mailing Address PO Box 38585				Transaction ID: 16074571 Date of Disbursement  10
	City Dallas Purpose of Disbursement Contribution Candidate Name	State Zip Code TX 75238		011 tegory/	Amount of Each Disbursement this Period
	Rep. Pete Sessions  Office Sought:  X House Senate President  State: TX District: 05	ursement For: 2008 Primary X General Other (specify)	Т	уре	Contribution
	Full Name (Last, First, Middle Initial) Campbell For Congress				Transaction ID: 16074572 Date of Disbursement
	Mailing Address 4590 Macarthur Blvd.	Suite 500			10 28 7 2008
	City Irvine	State Zip Code CA 92660			Amount of Each Disbursement this Perio
	Purpose of Disbursement Contribution Candidate Name		_	011 tegory/	2500.00
	Rep. John Campbell	ursement For: 2008 Primary X General Other (specify)		ype	Contribution
_					

	TILDOLL B (I LO I OIIII 3X)	Use separate schedule(	s)		OR LINE neck onl						14 / 2	- '
ITE	MIZED DISBURSEMENTS	for each category of the Detailed Summary Page			21b 27	22 28a	X 23	3 8b	24 28c	Н	25 29	П
or fo	Information copied from such Reports and S r commercial purposes, other than using the NAME OF COMMITTEE (In Full)											
1	Securities Industry and Financial Mark	ets Association Political Ac	tion (	Con	nmittee	9						
	Full Name (Last, First, Middle Initial) Iim Gerlach for Congress Committee					Date	action of Disb	ursem	nent			Y
N	Mailing Address 911 Welsh Ayres Wa	ay				1 0		2 8			0 ŏ 8	
	Dity Downingtown	State Zip Code PA 19335				Amou	nt of E	ach D	isburs	-		-
(	Purpose of Disbursement Contribution Candidate Name			01 ateg		L.				20	00.00	)
-	Jim Gerlach	oursement For: 2008		Тур			., ,.					
	Senate President District: 06	Primary X General Other (specify) ▼				Contr	ibutio	n				
F	Full Name (Last, First, Middle Initial) People For English						action of Disb			4575		
N	Mailing Address 530 West 6th Street					10	M /	<sup>D</sup> 2 8	) /	ž	0 0 8	Y
	Dity Erie	State Zip Code PA 16507				Amou	nt of E	ach D	isburs	emen	t this P	Perio
(	Purpose of Disbursement Contribution			01	1	L.				25	00.00	)
F	Candidate Name Rep. Phil English			ateg Typ	•							
	Office Sought:  X House Senate President  District: 21	oursement For: 2008 Primary X Genera Other (specify) ▼				Contr	ibutio	n				
	Full Name (Last, First, Middle Initial) House Conservatives Fund					Date	action of Disb	ursem	nent			
N	Mailing Address 803 7th Street NE #4					10	M /	2 8		ž	0 0 8	Y
	Dity Washington	State Zip Code DC 20002				Amou	nt of E	ach D	isburs	-		
(	Purpose of Disbursement Contributon			01		L.			•	50	00.00	)
ŀ	Candidate Name House Conservatives Fund			ateg Typ								
(	Office Sought: House Dist Senate President State: District:	oursement For: Primary Genera Other (specify) ▼				Contr	ibutor	1				
5												

	EC FOIII 3	' Use sepa	arate schedule(s)	(check or	E NUMBER: PAGE 15 / 21
TEMIZED DISBU	_	Detailed :	category of the Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29
					for the purpose of soliciting contributions olicit contributions from such committee
NAME OF COMMITTE Securities Industry	, ,	Markets Associatio	n Political Acti	on Committe	ee
Full Name (Last, First, Campbell For Cong	,				Transaction ID: 16077378 Date of Disbursement
Mailing Address 4	590 Macarthur	Blvd. Suite 500			10 7 29 7 2008
City Irvine		State CA	Zip Code 92660		Amount of Each Disbursement this Period
Purpose of Disbursem Contribution Candidate Name				011 Category/	1000.00
	House Senate President trict: 48	Disbursement For: Primary Other (spe	2008 X General ecify) ▼	Туре	Contribution
Full Name (Last, First, Chambliss for Sena					Transaction ID: 16088105 Date of Disbursement
Mailing Address 1	200 Riverside D	Prive			- 111 / 12 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Macon		State GA	Zip Code 31201		Amount of Each Disbursement this Period
Purpose of Disbursem Contribution Candidate Name	ent			011 Category/	2500.00
	House Senate President trict:	Disbursement For: Primary X Other (spe	2008 General	Туре	Contribution
Full Name (Last, First, Jeanne Shaheen F	,				Transaction ID: 16088376 Date of Disbursement
Mailing Address P	O Box 1510				M M / D D D / Y Y Y O O S Y
City Manchester		State NH	Zip Code 03105		Amount of Each Disbursement this Period 2500.00
Purpose of Disbursem Contribution Candidate Name	ent			011 Category/	2500.00
Jeanne Shaheen Office Sought:	House Senate	Disbursement For:  Primary	2008 General	Туре	Contribution
	President trict:	X Other (spe 2008 General Del	• . •		

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Full Name (Last, First, Middle Initial)					T
Friends Of Mark Warner					Transaction ID: 16088378  Date of Disbursement  111
Mailing Address 1029 North Royal Str	reet 2nd Fl				111
City Alexandria	State VA	Zip Code 22314			Amount of Each Disbursement this Per
Purpose of Disbursement Contribution Candidate Name				)11	2500.00
Mr. Mark Warner				egory/ ype	
X Senate President	oursement For:  X Primary Other (spe	2014 General			Contribution
State: VA District:  Full Name (Last, First, Middle Initial)					Transaction ID: 16088381
A Lot of People Who Support Jeff Bing	jaman				Date of Disbursement
Mailing Address PO Box 16210					11
City Albuquerque	State NM	Zip Code 87191			Amount of Each Disbursement this Per
Purpose of Disbursement Contribution				)11	2500.00
Candidate Name Sen. Jeff Bingaman				egory/ ype	
Office Sought:  House X Senate President  State: NM District:	oursement For:  X Primary Other (spe	2012 General		<u> </u>	Contribution
Full Name (Last, First, Middle Initial) Bill Foster for Congress					Transaction ID: 16088656 Date of Disbursement
Mailing Address PO Box 703					111 / D D J / Y Y Y O O 8 Y
City Geneva	State IL	Zip Code 60134			Amount of Each Disbursement this Per
Purpose of Disbursement '08 Special Primary Debt				011	2000.00
Candidate Name Bill Foster			Cat	egory/ ype	1
Senate President	oursement For: Primary X Other (spe				'08 Special Primary Debt
State: IL District: 14 '08	Special Prima	ıry			

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\	OF COMMITTEE (In Full) ities Industry and Financial	Markets Association	on Political Action	on Co	ommitte	ee					
	me (Last, First, Middle Initial) n Senate Committee Inc						saction IE of Disburs	sement			V
Mailing	Address PO Box 29103					1 1		13	2	0 0 8	
City Green	sboro	State NC	Zip Code 27429			Amou	unt of Eac	h Disburs			-
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Candid Kay H	ate Name agan				egory/ ype						
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	me (Last, First, Middle Initial)	2008 General De	edt He			Trans	saction IE	): 1610	7867	,	
Childe	ers For Congress						of Disburs	sement			Υ
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City Boone	eville	State MS	Zip Code 38829			Amou	unt of Eac	h Disburs	semen	t this P	'erio
	e of Disbursement rimary Debt Retirement			Ċ	11	7 L.			20	00.00	)
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	me (Last, First, Middle Initial) as For Congress					Date	saction IE of Disburs	sement			
Mailing	Address PO Box 1547					1 1 1	M / D	21 /	ž	0 0 8	Y
City New S	Smyrna Beach	State FL	Zip Code 32170			Amou	unt of Eac	h Disburs	semen	t this P	eric
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	I L DISHIUL 24	2000 General De	DUL NE								)

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	y Information copied from such Reports and for commercial purposes, other than using to NAME OF COMMITTEE (In Full)												
/	Securities Industry and Financial Ma	rkets Association Political Ac	tion (	Con	nmittee								
•	Peters For Congress  Mailing Address PO Box 226					Trans Date		sburs				0 ŏ 8	Y
	City Bloomfield Hills	State Zip Code MI 48303				Amou	ınt o	Each	n Dist	ourse	ment	this F	eriod
	Purpose of Disbursement 2008 General Debt Retirement Candidate Name			01 ateg	ory/						10	00.00	)
	Senate President	isbursement For: 2008 Primary General X Other (specify) ▼ 008 General Debt Re	<u> </u>	Тур	e	2008 ent	Ger	neral	Debi	t Ret	tiren	า-	
	Full Name (Last, First, Middle Initial) Jim Himes For Congress							sburs	emen			0 ŏ 8	Υ
	Mailing Address 65 High Ridge Roa Box 456	d Box 456				11		2	2 1	L	2	008	
	City Stamford	State Zip Code CT 06905				Amou	int o	Each	n Dist	ourse	-	this F	-
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	Full Name (Last, First, Middle Initial) Griffith For Congress					Trans Date	of Di	sburs	emen				
	Mailing Address PO Box 2916					1 1	М	D 2	2 1	/ L	ž	0 Ď 8	Y
	City Huntsville	State Zip Code AL 35804				Amou	ınt o	Each	n Disk	ourse	ment	this F	erioc
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	Candidate Name Mr. R Parker Griffith			ateg Typ	ory/ e								
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	y Information copied from such Reports and Stat for commercial purposes, other than using the na			
$\rangle$	NAME OF COMMITTEE (In Full) Securities Industry and Financial Market	ts Association Political Actio	n Committee	,
	Full Name (Last, First, Middle Initial) Adler For Congress			Transaction ID: 16107877 Date of Disbursement
	Mailing Address 14 Knightswood Drive			
	City Marlton	State Zip Code NJ 08053		Amount of Each Disbursement this Period
	Purpose of Disbursement 2008 General Debt Retirement		011	1000.00
	Candidate Name Mr. John Adler		Category/ Type	
	Senate President	rsement For: 2008 Primary General X Other (specify) ▼ General Debt Re		2008 General Debt Retirement
	Full Name (Last, First, Middle Initial) Friends Of Dan Maffei			Transaction ID: 16107878  Date of Disbursement
	Mailing Address PO Box 74			$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 1 \end{smallmatrix} & \begin{smallmatrix} D & D \\ 2 & 1 \end{smallmatrix} & \begin{smallmatrix} V & \begin{smallmatrix} Y & \begin{smallmatrix} Y & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & \\ & & & \\ & & \\ & & \\ & & & $
	City Syracuse	State Zip Code NY 13214		Amount of Each Disbursement this Period
	Purpose of Disbursement 2008 General Debt Retirement		011	1000.00
	Candidate Name Mr. Daniel Maffei		Category/ Type	
	Office Sought:  X House  Senate  President  Disbut	rsement For: 2008 Primary General X Other (specify)		2008 General Debt Retirement

SUBTOTAL of Disbursements This Page (optional)	•	2000.00
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2008 General Debt Re

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SCHEDULE B (FEC Form 3X)	arate schedule(s)			OR LIN			R:		PAGE 20 / 21					
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Securities Industry and Financial Markets	Associatio	n Political Acti	on C	Co	ommitte	e								
Full Name (Last, First, Middle Initial) PNC Bank									on ID:	16098 ement	3886	3		
Mailing Address 1400 K Street, NW							<sup>M</sup> 1	M	0	3 /	2	Ó 0 Š	$B^{Y}$	
City Washington	State DC	Zip Code 20005					Amou	nt o	f Each	Disburse	emer	nt this I	Period	
Purpose of Disbursement Credit Card Fees				_	01			_				83.1	2	
Candidate Name			Ca	ate	egory/									
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PNC Bank							Date o	of Di	sburse	ement				
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Office Sought: House Disburs Senate President	ement For: Primary Other (spe	General ecify) ▼					Credit	t Ca	ırd Fe	ee				
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SUBTOTAL of Disbursements This Page (optional)					. <b>•</b>						1	64.5	5	

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	Full Name (Last, First PNC Bank Mailing Address	t, Middle Initial)  1400 K Street,	NW							Trans Date o		burse	-			0 ŏ 8	Y	
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